

M.M. 02.28-02

Seetor

Express Mail mailing label number: EV062521605US

Date of Deposit: February 26, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX MISSING PARTS, Commissioner for Patents, Washington D.C. 20231.

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: *Patricia Aguillon*

PATENT
Docket No. P1396

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: RICHARD N. MARSH
ANTHONY M. WU

ART UNIT: 2832

SERIAL NO.: 10/046,062

FILED: JANUARY 11, 2002

FOR: TRI-MODE OVER-VOLTAGE
PROTECTION AND DISCONNECT
CIRCUIT APPARATUS AND METHOD

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced application for patent, transmitted herewith are the following:

1. PTO copy of Notice to File Missing Parts of Nonprovisional Application (1 page);
2. Response to Notice to File Missing Parts of Nonprovisional Application (2 pages);
3. Declaration and Power of Attorney for Patent Application (2 pages);
4. Check No. 4723 in the amount of \$954.00 to pay the filing and surcharge fees (1 page); and
5. Post card in acknowledgment of receipt of all transmitted materials.

Please date-stamp the enclosed post card and return same to the undersigned in acknowledgment of receipt of all transmitted materials.

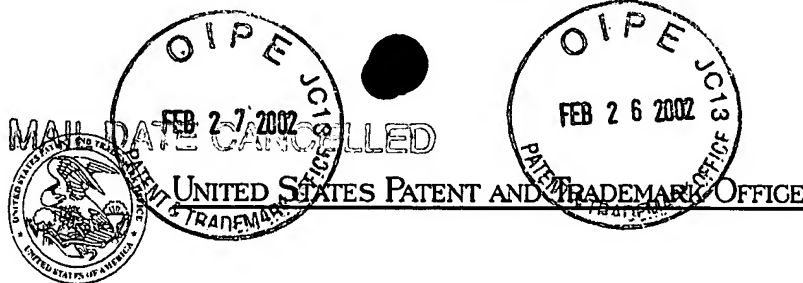
Respectfully submitted,

C. Kelley Crossman

C. Kelley Crossman
Reg. No. 34,312

CKC\dbr
February 26, 2002
LARIVIERE, GRUBMAN & PAYNE, LLP
P.O. Box 3140
Monterey, CA 93942-3140
(831) 649-8800

#3



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,062	01/11/2002	Richard N. Marsh	P1396

24394
LARIVIERE, GRUBMAN & PAYNE, LLP
1 LOWER RAGSDALE, BLDG. 1, SUITE 130
P.O. BOX 3140
MONTEREY, CA 93942



CONFIRMATION NO. 2792

FORMALITIES LETTER



OC000000007466433

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 ▪ \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/03/2002 09:03:01 00000023 10046062
01 FEB 10 101
02 FEB 10 102
03 FEB 10 103
740.00 DP
04.00 DP
130.00 DP